

Personal Assets Trust Zero Charge ISA Application Form

SECTION 1

Personal Details

This application will relate to the Tax Year commencing 6th April 2011 and to any subsequent consecutive tax years in which subscriptions are made.

If you are investing by monthly Direct Debit and wish to restrict your Direct Debit to the current tax year only, please tick this box.

Mr/Mrs/Miss/Ms/Title Full Forename(s)

Surname

Permanent UK residential address (incl. house no.)

Postcode

Daytime Telephone Number (incl. area code)

E-mail

Time at Current Address ____ years ____ months. If less than 3 years, please give details of your previous UK residential address.

Postcode

Account Code (if existing Personal Assets ISA/Investment Plan investor)

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National Insurance Number*

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Date of Birth*

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(dd/mm/yyyy)

Nationality

Country of Residence

Occupation

Security Details

Mother's Maiden Name

Father's Initials

First Job/Employer

Please note:

- All investment in the ISA must be received by 5 April or, of this is not a business day, the last business day before 5 April, to ensure processing in the current tax year.**
- Dividends will be reinvested in your ISA unless you complete the Income Distribution Request.**
- This Application must be rejected and returned to you, if it is not fully completed.**

* The Government's ISA regulations stipulate that an individual must state his or her National Insurance Number and Date of Birth. If you do not have a record of your National Insurance Number it can be obtained by contacting your tax office, employer or tax adviser.

If you have never had a National Insurance Number, please tick this box

SECTION 2

Subscriptions

PLAN CHOICE

A. Lump Sum Contributions

Lump sum contributions are payable by personal cheque and should be made out to Personal Assets ISA for £10,680

B. Monthly Contributions

Contributions are collected by Direct Debit from your bank or building society.

Please note: To allow time for your Direct Debit instruction to be processed, **your initial investment must be by cheque.**

You may wish to increase your initial payment by cheque to an amount greater than your monthly Direct Debit instalments – this

is perfectly acceptable, as long as the combined total of lump sum and monthly investments does not exceed the maximum allowable ISA limit of £10,680 for this tax year.

Please enter the amount of your initial investment (minimum £890) and enclose your personal cheque payable to

Personal Assets ISA:

£									
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Please enter the amount you wish to **Invest Monthly** (minimum £890) and complete the attached

Direct Debit instruction:

£									
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Date you wish monthly investment to be collected. If you do not insert a date, your Direct Debit will be collected on, or shortly after, the 1st of each month

Income Distribution Request

Income will normally be reinvested. However, if you wish to have your income paid directly into your Bank or Building Society account, please complete the following:

Bank/Building Society

Branch

Address

Postcode

Sort Code Number

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Account Number

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Name of Account Holder

Signature

Date

SECTION 3

Declaration

I declare that:

- All subscriptions made, and to be made, belong to me;
- I am 18 years of age or over;
- I have not subscribed and will not subscribe more than the overall subscription limit in total to a cash ISA and a stocks and shares ISA in the same tax year;
- I have not subscribed and will not subscribe to another stocks and shares ISA in the same year that I subscribe to this stocks and shares ISA;
- I am resident and ordinarily resident in the United Kingdom for tax purposes or, if not so resident, either perform duties which, by virtue of Section 28 of Income Tax (Earnings & Pensions) Act 2003 (Crown employees serving overseas), are treated as being performed in the United Kingdom, or I am married to, or in a civil partnership with, a person who performs such duties. I will inform Halifax Share Dealing Limited if I cease to be so resident and ordinarily resident or to perform such duties or be married to, or in a civil partnership with, a person who performs such duties.

I authorise Halifax Share Dealing Limited:

- to act as manager of my ISA and to hold my cash subscription, Personal Assets Trust shares, dividends and any other rights or proceeds in respect of Personal Assets Trust shares and any other cash and interest thereon received for account of my ISA;
- To make, on my behalf, any claims to relief from tax in respect of ISA investments.
- To act as agent in buying Personal Assets Trust shares for, or selling Personal Assets Trust shares from, my ISA.

I authorise HSDL Nominees Limited ("the Nominees") to hold Personal Assets Trust shares purchased for my ISA on my behalf and to my order.

I hereby direct the Nominees that in the event that I have not given instructions in writing to vote or not to vote my Personal Assets Trust shares held by the Nominees on my behalf under my ISA at any meeting of shareholders five days before the relevant meeting, the Nominees may exercise any voting rights attaching to such shares.

I undertake that if any subscription is made by cheque, the cheque will be met on first presentation and hereby declare that this subscription is made using my own funds.

I declare that to the best of my knowledge and belief all statements made in this application are true and correct and that I shall inform HSDL in writing of any changes therein forthwith.

I have read the Key Facts of Personal Assets Trust ISA and the HSDL Conflicts of Interest Policy and Order Handling Policy. I agree to be bound by Personal Assets Trust ISA Terms and Conditions.

Declaration to Halifax Share Dealing

This declaration records the terms of the declaration made by the applicants named below. Any amendments/deletions to the declaration will invalidate the verification.

We may search credit reference agency files for credit information in assessing your application. The agency also gives us other details and information from the Electoral Register to verify your identity. The agency will keep a record of the search type (credit or identification), whether or not your application proceeds.

We may use credit scoring methods to assess your application and verify your identity. Members of our group and other companies may use credit searches and other information supplied to us and/or the credit reference agencies about you and someone linked financially with you including previous and subsequent names of parties to an account, to make credit decisions about you or other members of your household. Any of this information may also be used for identification purposes, debt tracing, preventing money laundering and managing your account.

We may give details of your account, including previous and subsequent names of parties to an account, and how you conduct it to credit reference agencies.

Information held about you by the credit reference agencies may already be linked to records relating to one or more of your partners, including records held in previous or subsequent names. During this application you may be treated as financially linked and your application may be assessed with reference to any "associated" records.

Any information you provide will be held by HSDL and will not be passed on to any other product or service companies. We will use it mainly to look after your account or to provide services for you. Your details may be used by Personal Assets Trust to send you information on other products and services they offer. If you would prefer not to receive such information, please contact Personal Assets Trust directly.

By signing your application, you agree that we can use your information in the ways described.

I direct you to invest my payment in the purchase of ordinary shares in Personal Assets Trust and undertake that if any subscription is made by cheque, the cheque will be met on first presentation and hereby declare that this subscription is made using my own funds.

I hereby appoint HSDL Nominees Limited to hold Personal Assets Trust shares hereby purchased on my behalf and to my order. I hereby direct HSDL Nominees Limited that in the event that I have not given instructions in writing to vote or not to vote on my behalf any Personal Assets Trust shares held by them on my behalf at any meeting of shareholders five days before the time of the relevant meeting, HSDL Nominees Limited may exercise any voting rights attaching to such shares after consultation with Personal Assets Trust as may be appropriate.

I agree to obtain the Personal Assets Trust Terms and Conditions (a copy of which can be obtained by visiting www.patplc.co.uk or by calling 0845 850 0181) to the extent that they apply to me. These conditions, supplementary terms and conditions, Key Facts, Order Handling Policy and our summary Conflict of Interest Policy www.halifax.co.uk/sharedealing/share.dealinghome.asp together form the full terms and conditions of our service. If you are in any doubt as to the content of these Terms & Conditions we recommend you seek appropriate advice in order to gain a full understanding.

I warrant that I have subsequently read the Personal Assets Trust Terms and Conditions so that I am aware of your service proposition to me. I agree that investments I buy or subscribe for or transfer into my account will be held in safe custody in my account.

I agree to the Personal Assets Trust Terms and Conditions and Key Facts. I confirm that the information provided on this form is true and correct. I agree to be an execution only client and will not seek investment advice from Halifax Share Dealing Limited (HSDL). I understand that I will be categorised as a retail client.

I declare that this application has been completed to the best of my knowledge and belief.

Please now sign and date below.

Signature _____ Date _____

Checklist

Have you enclosed:

1. Your completed and signed **ISA Application Form**?
2. Your personal cheque for the lump sum, or the first month's instalment, payable to **Personal Assets ISA**?
3. The **Income Distribution Request** form (Section 2) (if applicable)
4. A **Direct Debit** Instruction (Section 4) (if applicable)

All of these items are to be sent to the following address:

PERSONAL ASSETS ISA, HALIFAX SHARE DEALING LTD, LOVELL PARK ROAD, LEEDS, LS1 1NS

Telephone: 0845 850 0181*

*Calls from BT landlines will cost a maximum of 4p per minute and a 6p call set-up fee. The price of calls from other telephone companies will vary. The call price is correct at the time of printing.

Personal Assets Trust Direct Debit Instruction



Please fill in the whole form, excluding the official use box, using a ball point pen and send it to:

SECTION 4

Personal Assets ISA
Halifax Share Dealing Limited
Lovell Park Road
Leeds
LS1 1NS

**Instruction to your Bank
or Building Society to
pay by Direct Debit**

Name(s) of Account Holder(s) _____
Bank / Building Society Account Number _____
Branch Sort Code _____

Originators' Identification Number 728798

For HSDL Official Use Only This is not part of the instruction to your Bank or Building Society

Name and full postal address of your Bank or Building Society	
To: The Manager	Bank / Building Society
Address	_____

Postcode	_____

Instruction to your Bank or Building Society Please pay Halifax Share Dealing Limited Direct Debits from the account detailed on this Instruction subject to the safeguards assured by the Direct Debit Guarantee. I understand that this instruction may remain with Halifax Share Dealing Limited and, if so, details will be passed electronically to my Bank / Building Society.

Account Number (if applicable)

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Signature(s) _____ Date _____

Banks and Building Societies may not accept Direct Debit Instructions for some types of account



This guarantee should be detached and retained by the Payer.

<p style="text-align: center;">The Direct Debit Guarantee</p> <ul style="list-style-type: none">● This guarantee is offered by all Banks and Building Societies that accept instruction to pay Direct Debits.● If there are any changes to the amount, date or frequency of your Direct Debit, Halifax Share Dealing Limited will notify you three working days in advance of your account being debited or as otherwise agreed. If you request Halifax Share Dealing Limited to collect payment, confirmation of the amount and date will be given to you at the time of the request.● If an error is made in the payment of your Direct Debit, by Halifax Share Dealing Limited or your bank or building society, you are entitled to a full and immediate refund of the amount paid from your bank or building society. If you receive a refund you are not entitled to, you must pay it back when Halifax Share Dealing Limited asks you to.● You can cancel a Direct Debit at any time by simply contacting your bank or building society. Written confirmation may be required. Please also notify us.
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